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Apparatus and method for communication monitoring in a mobile radio network

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**Apparatus and Method for Communication Monitoring  
In a Mobile Radio Network**

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10 **Description:**

The present invention relates to an apparatus and a method for communication monitoring in a mobile radio network, in which the data is transferred in the form of data packets, in which each mobile radio subscriber is allocated to a radio access  
15 entity which can exchange data with said subscriber via radio, which allocation may change with the movement of a mobile radio subscriber, each said radio access entity being connected to a serving switching entity via a first link, each said serving switching entity exchanging data between plural radio access entities allocated to it or with a higher instance to which plural serving switching entities are allocated,  
20 each said serving switching entity being connected to a subscriber data base entity via a second link, said subscriber data base entity containing subscriber-oriented data of the mobile radio subscribers allocated to it, said data exchanged between a radio access entity and the serving switching entity allocated to it being ciphered data, whereby at least first and second ciphering parameters are used for ciphering  
25 a first link, which first ciphering parameter is provided by said subscriber data base entity and which second ciphering parameter is obtained, especially recovered dynamically, from the data exchange between said radio access entity and said serving switching entity allocated to it.

30 For a better understanding of the present invention, the latter is described hereinafter using the example of a GPRS (General Packet Radio Service) network. However, the invention may readily be used with other standards covered by the claimed apparatus or the claimed method, for instance UMTS (Universal Mobile

Telecommunication System). Furthermore, if the invention is adapted further appropriately, it may also be applied to standards involving more than two ciphering parameters, as will be evident to the person of skill in the art. Merely for the purpose of more detailed information, it is to be noted that said data transmitted in said mobile radio network includes useful data as well as signalling data.

Under the GPRS standard, said serving switching entity will be an SGSN (Serving GPRS Support Node), said first link will be a Gb interface, said second link will be a Gr interface, said radio access entity will be a BSS (base station subsystem) and said subscriber entity will be an HLR (home location register).

A generic apparatus which can be used for performing a generic method is distributed by the applicants of the present application under the trade name Tektronix K1205. Said K1205 is a portable stand-alone device which operates as a probe connected to a line, as it were, with deciphering taking place within a monitoring application for which this device can be configured specifically. All required Gb and Gr interfaces need to be connected directly to said K1205, for which purpose 16 connections in total are available. No cooperation and especially no exchange of deciphering parameters with other K1205 devices is possible. Any processing following deciphering, for example compiling statistics and call traces, is done directly within said device, since the deciphered data cannot be forwarded on-line. However, off-line processing, i.e. processing which is not in real time, by means of recording files is possible.

Consequently, while said K1205 may be used for monitoring the communication of selected mobile radio subscribers, it does not allow any real time monitoring of an SGSN or of a complete mobile radio network.

Therefore, it is the object of the present invention to improve on a generic apparatus and a generic method in such a manner that enhanced communication monitoring in a mobile radio network will be possible. Preferably, the improvement is to be such



that preferred embodiments of the invention will allow the monitoring of a complete mobile radio network.

This object is achieved by an apparatus having the features of claim 1 and by a method having the features of claim 11.

The above objects could not be achieved even if several K1205 devices were used: First of all, a K1205 is not suited for cooperation with other devices. Now, if a K1205 were to be expanded, i.e. from 16 connections to a random number of connections, this would still only allow monitoring at a fixed position, for example at an SGSN, since the individual components of a K1205 cannot be operated separately. Cooperation with K1205 devices located at other SGSNs for example, is thus impossible. If the data from individual K1205s were collected - especially in an ciphered form, for lack of ciphering parameters - and were forwarded to some point, this would no longer allow any real time monitoring. Monitoring becomes virtually impossible when a mobile radio subscriber moves from the service area of a first SGSN into the service area of a second SGSN. Since said second SGSN does not have any information on the deciphering parameters transferred during connection set-up (which information would reside with the first SGSN), said second SGSN would not be able to decipher any data.

For this reason, the present invention is taking an altogether different approach: It is based on the realization that the ideal solution to the problem is the use of a distributed monitoring system instead of a stand-alone device. An intelligent distribution of the functionalities will allow a plurality of components to cooperate, which in turn makes it possible to monitor even a complete mobile radio network in real time. The deciphering architecture according to the invention provides a non-intrusive monitoring system which is able to manage the deciphering process automatically. This allows a protocol analysis or procedure trace to be performed also on ciphered packets.

The following is a description of the components to be located at an SGSN, which components may also cooperate with corresponding components of other SGSNs via appropriate connections, for example an LAN (Local Area Network) or a WAN (Wide Area Network). Various other constellations may be useful, depending on the application purpose, of which a few are to be described as examples below: It is possible for example to have the processing devices of plural SGSNs cooperate with a deciphering parameter providing device and a deciphered data providing device. It is likewise deemed within the scope of the present invention to have said processing devices of an SGSN transfer their deciphering parameters or deciphered data not only to the deciphering parameter providing device allocated to the corresponding SGSN and to said deciphered data providing device, but also to a predeterminable number of neighbouring SGSNs into whose service area the mobile radio subscriber might move when changing his position.

Said at least one processing device is coupled to said at least one deciphering parameter providing device as well as to said at least one deciphered data providing device, preferably via an LAN or a WAN. Especially in GPRS applications, the data on said first links (26) is ciphered by means of a third ciphering parameter each, said third ciphering parameter being especially a sequence number of a transmitted data packet, said at least one processing device being designed to determine the third ciphering parameter and to use it for deciphering the data transferred on the respective first link.

Preferably, each processing device includes a device for delaying the forwarding of selected data packets, in particular in such a manner that an ordered data flow of data packets can be provided at the output of a processing device, regardless of whether or not a data packet had been ciphered. Simply put, a ciphered data flow thus enters a processing device and exits the latter again in deciphered form, which allows the original order to be maintained. This is advantageous especially in view of the vast number of possible post-processing procedures and evaluations. It is of course possible to implement filter functions in a processing device which can then be applied to the data flow of unciphered and deciphered data packets.

With respect to real-time applications, it has turned out to be particularly advantageous if each processing device comprises a first memory, especially a cache, in which the deciphering parameters provided by said deciphering parameter providing device can be stored. This helps avoid repeated queries for the current deciphering parameters to said deciphering parameter providing device for the same mobile radio subscriber. To allow particularly fast access times, the memory should be kept small, and it is preferred in this context to design the memory in such a manner that the oldest entries are overwritten by the most recent entries.

Particularly good results were obtained by having plural processing devices cooperate in parallel with allocated deciphering parameter providing devices and allocated deciphered data providing devices, the number of said processing devices having been chosen so as to cover all first and second links allocated to at least one serving switching entity. Particular mention is to be made here of an implementation in which plural processing devices, one deciphering parameter providing device and one deciphered data providing device have cooperated for one serving switching entity. Subdividing the monitoring apparatus of the invention into units per serving switching entity allows a well-arranged and clear configuration and thus a simple structure.

Preferably, said processing devices are located with said SGSNs, said deciphering parameter providing device for example centrally between plural SGSNs for which it is responsible and said deciphered data providing device near devices which may be used for the further processing of the deciphered data flow.

In the method of the invention, a processing device first of all checks whether or not an incoming data package is ciphered. If said data packet is found to be unciphered, said data packet will be time delayed, to be combined with as yet ciphered data packets once these have been deciphered, to give an ordered data flow. If said data packet is found to be ciphered, it will undergo a deciphering process.

The corresponding at least first and second ciphering parameters for a data packet are preferably retrieved using an allocation parameter which can be derived from said data packet. In the case of GPRS systems, this will especially be the TLLI/RAI information. According to the spirit of the present invention, a ciphering/deciphering parameter may also be understood to be plural ciphering/deciphering parameters, whereby it is important for the ciphering implementations that they are provided on the corresponding link. In a GPRS for example, the parameters IOV, Kc, OC (see the GSM 04.64 specification) used for ciphering are referred to as ciphering parameters allocated to the first and second links, i.e. as a triple which can change after switch on of the mobile handset or after a renewed attach (i.e. Kc parameter) or can be upgraded dynamically with the traffic transferred by the mobile (i.e. OC parameter). Renewed attach means that a GPRS subscriber can always be on-line since charging will only be according to data volume. The aforementioned triples are transferred in an unciphered form, whereby the processing device is preferably designed so as to determine the current at least first and second ciphering parameters from the data packets incoming from the respectively connected first and/or second links and to forward them to an allocated deciphering parameter providing device for storage as deciphering parameters. There, they will then be available to other processing devices, in particular also to those which are allocated to a different SGSN and may be retrieved once the mobile radio subscriber enters their service area. Corresponding processing devices are thus also capable of deciphering data from mobile radio subscribers that only entered their service area in the course of a communication.

To prevent the actual processing device from having to retrieve, from the deciphering parameter providing device, the current deciphering parameters for mobile radio subscribers that have initiated a communication in the service area of said processing unit, each processing device preferably also stores in its own memory the at least first and second deciphering parameters it has determined or previously queried to the deciphering parameter providing device. For minimizing the number of queries to an allocated deciphering parameter providing device, it is further preferred that each processing device should store in its own memory at least

first and second deciphering parameters retrieved from such an allocated deciphering parameter providing device.

5 To be consistent, in the process of deciphering data packets, each processing device will first of all check whether or not the required at least first and second deciphering parameters can be retrieved from its own memory, and only if not, will retrieve such parameters from an allocated deciphering parameter providing device. It remains to be said that the third deciphering parameter that – as noted above, may for example be based on the sequence number of a data packet transferred -  
10 may be readily determined by a processing device itself and thus need not be retrieved from any other device.

15 Finally, it is preferred to design the components of the apparatus of the invention in such a manner that the data can be deciphered and forwarded in real time. In a preferred implementation the processing devices were implemented as PowerWan boards, and the deciphering parameter providing device as a Sun UNIX server.

Further advantageous embodiments may be gathered from the subclaims.

20 The following is a description of a particularly preferred embodiment in which reference is made to the accompanying drawings, of which:

FIGURE 1 is a diagrammatic view of an apparatus of the invention which is connected to an exemplary mobile radio network; and  
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FIGURE 2 is a schematic block diagram view showing the signal flow graph for an embodiment of the method according to the invention.

30 In the following, an apparatus of the invention and/or a method of the invention is described using the example of a GPRS network. However, it may readily be adapted to other network standards such as UMTS. FIG. 1 first of all shows parts of a GPRS mobile radio network in which there is a wireless connection between an

ciphering parameters are present, such are forwarded as deciphering parameters to the deciphering server 34 in step 125 as well as stored in the memory 44 of the respective processing device 32. Subsequently, in step 130, the deciphering unit 46 associated with the respective processing device 32 checks whether or not such PDU is ciphered. If the PDU is found to be unciphered, it will be delayed in a delaying device 48 of the respective processing device 32 in step 140 for combination with deciphered PDUs later on to give an ordered data flow again. If the PDU is found to be ciphered, a keyword is determined in step 150, e.g. the TLLI/RAI information, in order to query the memory 44 of the processing device 32 in step 160 for deciphering parameters. If deciphering parameters are detected in step 170, these will be used in step 180 for deciphering the PDU, in particular by means of ETSI (European Telecommunication Standard Institute) deciphering algorithms, if necessary together with further deciphering information, e.g. the PDU sequence number, for deciphering said PDU. In the event that the deciphering parameters are not found in the memory 44 of the processing device 32 in step 170, the allocated deciphering server 34 will be queried in step 190. In step 200, the deciphering parameters will be loaded into the deciphering unit 46, and in step 210, the memory 44 of the processing device 32 will be updated to avoid repeated access to the associated deciphering server 34. In step 220, the PDUs transferred in an unciphered form and the deciphered PDUs will be combined to give a data flow and transferred to the allocated application server 36. It will then be checked in step 230 whether further PDUs are present on the inputs of the processing device 32. If so, the process will return to step 110, if not, the process will be terminated at step 240.

Additional embodiments and modifications are within the scope of the invention. It may for example be envisaged to delete the associated deciphering parameters in the deciphering server 34 once it is detected that a subscriber has terminated his connection, so as to thus minimize the time spent searching for deciphering parameters of other subscribers.

**Apparatus and Method for Communication Monitoring  
in a Mobile Radio Network**

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1. An apparatus for communication monitoring in a mobile radio network, in which the data is transferred in the form of data packets (PDU), with each mobile radio subscriber (10) being allocated to a radio access entity (20) which can exchange data with said subscriber (10) via radio, which allocation may change with the movement of said subscriber (10), each said radio access entity (20) being connected to a serving switching entity (12) via a first link (26), each said serving switching entity (12) exchanging data between plural radio access entities allocated to it or with a higher instance (18) to which plural serving switching entities are allocated, each said serving switching entity (12) being connected to a subscriber database entity (14) via a second link (28), said subscriber database entity (14) containing subscriber-oriented data of the mobile radio subscribers allocated to it, said data exchanged between a radio access entity (20) and its allocated serving switching entity (12) being ciphered data, whereby at least first and second ciphering parameters are used for ciphering a first link (26), which first ciphering parameter is provided by said subscriber database entity (14) and which second ciphering parameter is obtained, especially dynamically recovered, from the data exchange between said radio access entity (20) and its allocated serving switching entity (12), comprising:

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- at least one processing device (32) which may be connected to plural first and/or second links (26; 28), each said processing device (32) being designed to determine, from the data transferred via the respectively connected first and second links (26; 28), the current at least first and second ciphering parameters, and to decipher the data on the first links (26) using the respective at least first and second deciphering parameters;
- at least one deciphering parameter providing device (34) in which the

current at least first and second ciphering parameters can be filed as deciphering parameters by the at least one allocated processing device (32) and may be made available to it upon request by a processing device (32), in particular a processing device (32) other than the one which filed said at least first and second deciphering parameters in said deciphering parameter providing device (34);

- at least one deciphered data providing device (36) for providing at least a partial amount of said deciphered data,

wherein said at least one processing device (32), said at least one deciphering parameter providing device (34) and said at least one deciphered data providing device (36) can be located independently of the each other.

2. The apparatus of claim 1, characterized in that said at least one processing device (32) is coupled to said at least one deciphering parameter providing device (34) as well as to said at least one deciphered data providing device (36) via an LAN or a WAN.

3. The apparatus of claims 1 or 2, characterized in that the data on said first links (26) is ciphered by means of a third ciphering parameter each, said third deciphering parameter being especially a sequence number of a transmitted data packet, said at least one processing device (32) being designed to determine the third ciphering parameter and to use them for deciphering the data transferred via the respective first link (26).

4. The apparatus of one of the preceding claims, characterized in that each processing device (32) comprises a device for delaying (48) the forwarding of selected data packets, in particular in such a manner that an ordered data flow of data packets can be provided at the output of a processing device (32), regardless of whether or not a data packet had been ciphered.



5. The apparatus of one of the preceding claims, characterized in that each processing device (32) comprises a first memory (44), in particular a cache, in which the deciphering parameters provided by said deciphering parameter providing device (34) can be stored.

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6. The apparatus of claim 5, characterized in that in said first memory (44), the oldest entries are overwritten by the most recent entries.

10 7. The apparatus of one of the preceding claims, characterized in that said data transmitted in said mobile radio network includes useful payload data and signalling data.

15 8. The apparatus of one of the preceding claims, characterized in that said mobile radio network is of the General Packet Radio Service type, in which said serving switching entity (12) is a Serving General Packet Radio Service Support Node, said first link (26) is a Gb interface, said second link (28) is a Gr interface, said radio access entity (20) is a base station subsystem, said subscriber database entity (14) is a home location register.

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9. The apparatus of one of the preceding claims, characterized in that plural processing devices (32) cooperate in parallel with allocated deciphering parameter providing devices (34) and allocated deciphered data providing devices (36), the number of processing devices (32) being sufficient to cover all the first and second links (26; 28) allocated to at least one serving switching entity (12).

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10. The apparatus of one of the preceding claims, characterized in that for a serving switching entity (12), plural processing devices (32) and one deciphering parameter providing device (34) as well as one deciphered data providing device (36) cooperate.

30

11. A method for monitoring communication in a mobile radio network, in which the data is transferred in the form of data packets, with each mobile radio subscriber (10) being allocated to a radio access entity (20) which can exchange data with said mobile radio subscriber (10) via radio, which allocation may change with the movement of said subscriber (10), each said radio access entity (20) being connected to a serving switching entity (12) via a first link (26), each said serving switching entity (12) exchanging data between plural radio access entities allocated to it or with a higher instance (18) to which plural serving switching entities are allocated, each said serving switching entity (12) being connected to a subscriber database entity (14) via a second link (28), said subscriber database entity (14) containing subscriber-oriented data of the mobile radio subscribers allocated to it, said data exchanged between a radio access entity (20) and its allocated serving switching entity (12) being ciphered data, whereby at least first and second ciphering parameters are used for ciphering a first link (26), which first ciphering parameter is provided by said subscriber database entity (14) and which second ciphering parameter is obtained, especially dynamically recovered, from the data exchange between said radio access entity (20) and its allocated serving switching entity (12), comprising the following steps:
- a) Arranging at least one processing device (32), at least one deciphering parameter providing device (34) as well as at least one deciphered data providing device (36) independently of each other at random sites;
  - b) establishing a connection between plural first and/or second links (26; 28) on the one hand and at least one processing device (32) on the other.
  - c) establishing a connection between said at least one processing device (32) and at least one allocated deciphering parameter providing device (34) as well as between said at least one processing device (32) and at least one allocated deciphered data providing device (36);
  - d) retrieving, for the respective first link (26) to be deciphered, the associated at least first and second deciphering parameters from an allocated deciphering parameter providing device (34);

- e) in the respective processing device (32): deciphering the data packets transferred via the corresponding first link (26) by means of at least said first and second deciphering parameters; and
- f) forwarding at least a partial amount of the deciphered data to an allocated deciphered data providing device (36).

12. The method of claim 11,  
characterized in that said processing device (32) will first of all check whether or not an incoming data packet is ciphered, whereby

- if said data packet is found to be unciphered, said data packet will be time delayed, so that it can be combined with as yet ciphered data packets after these have been deciphered, to give an ordered data flow;
- if said data packet is found to be ciphered, said data packet will undergo a deciphering process.

13. The method of claims 11 or 12,  
characterized in that the respective at least first and second deciphering parameters for a data packet are queried using an allocation parameter which can be derived from said data packet.

14. The method of one of claims 11 to 13,  
characterized in that each processing device (32) determines the current at least first and second ciphering parameters from the data packets received from the respectively connected first and/or second links (26; 28) and forwards them as deciphering parameters to an allocated deciphering parameter providing device (34) for storage.

15. The method of one of claims 11 to 14,  
characterized in that each processing device (32) files at least first and second deciphering parameters queried from an allocated deciphering parameter providing device (34) in a memory (44) of the respective processing device (32).

16. The method of claim 15,  
characterized in that for deciphering data packets, each processing device (32)  
will first of all check whether the required at least first and second deciphering  
5 parameters can be retrieved from its memory (44), and only if not, will query  
these from an allocated deciphering parameter providing device (34).

17. The method of one of claims 11 to 16,  
characterized in that said data is deciphered and forwarded in real time.

19. Juli 2002

## Abstract

**Apparatus and Method for Communication Monitoring  
in a Mobile Radio Network**

The present invention relates to an apparatus for communication monitoring in a mobile radio network, in which the data is transferred in the form of data packets, with each mobile radio subscriber being allocated to a radio access entity (20) which can exchange data with said subscriber via radio, which allocation may change with the movement of said subscriber, each said radio access entity (20) being connected to a serving switching entity (12) via a first link (26), each said serving switching entity (12) exchanging data between plural radio access entities allocated to it or with a higher instance to which plural serving switching entities are allocated, each said serving switching entity (12) being connected to a subscriber database entity (14) via a second link (28), said subscriber database entity (14) containing subscriber-oriented data of the mobile radio subscribers allocated to it, said data exchanged between a radio access entity (20) and its allocated serving switching entity (12) being ciphered data, whereby at least first and second ciphering parameters are used for ciphering a first link (26), which first ciphering parameter is provided by said subscriber database entity (14) and which second ciphering parameter is obtained, especially dynamically recovered, from the data exchange between said radio access entity (20) and its allocated serving switching entity (12), comprising least one processing device (32) which may be connected to plural first and/or second links (26; 28), each said processing device (32) being designed to determine, from the data transferred via the respectively connected first and second links (26; 28), the current at least first and second ciphering parameters, and to decipher the data on the first links (26) using the respective at least first and second deciphering parameters; at least one deciphering parameter providing device (34) in which the current at least first and second ciphering parameters can be filed by the at least one allocated processing device (32) and may be made available to it upon request by a processing device (32), in particular a processing device (32) other than the one which filed said at least first and second

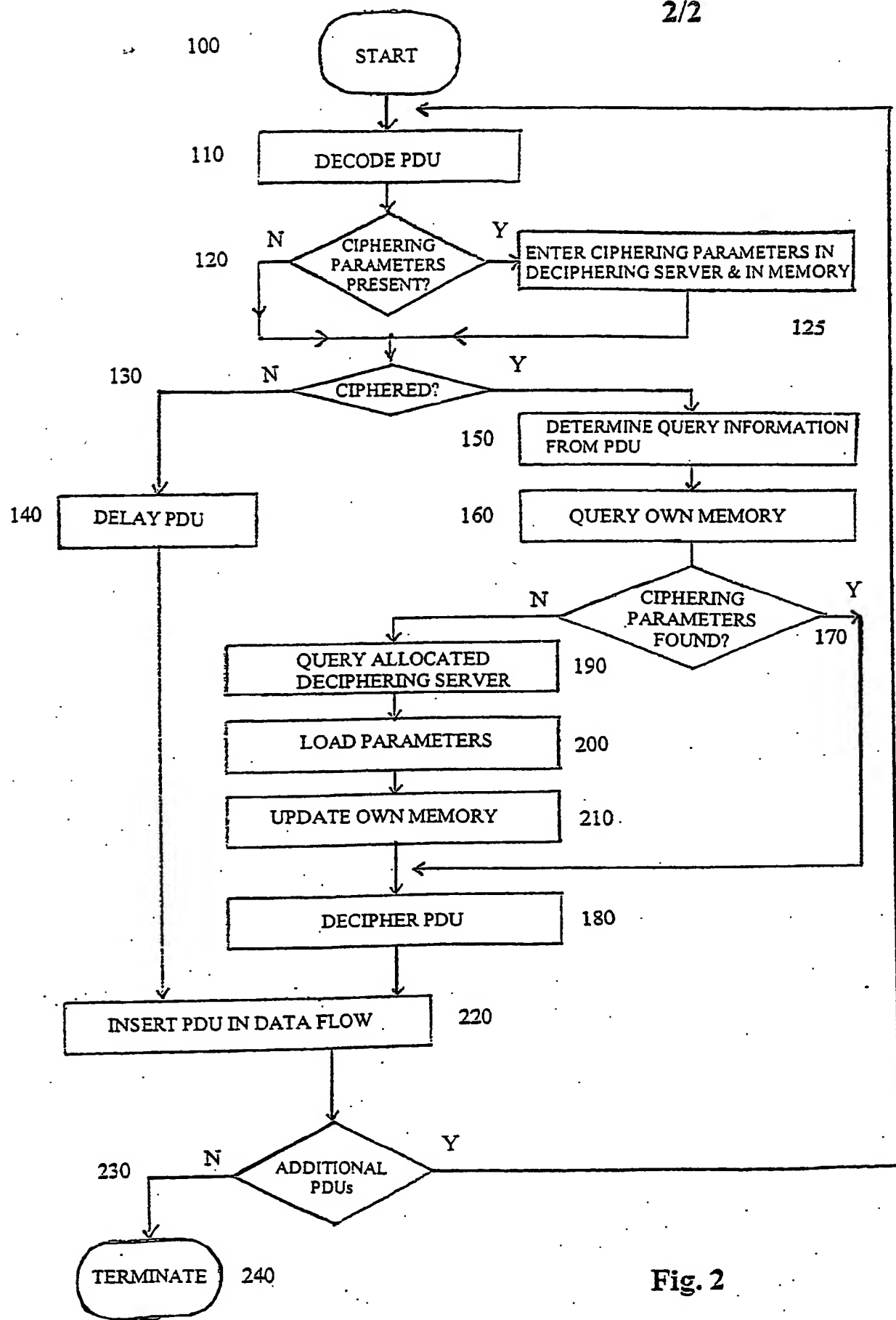


Fig. 2



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<b>PEFR</b> Pre-Exam Formalities Response <input type="checkbox"/>	<b>CRFD</b> Computer Readable Form Defective <input type="checkbox"/>	<b>AP.B</b> Appeal Brief <input type="checkbox"/>
<b>PEFRREISS</b> Pre-Exam Formalities Reissue Response <input type="checkbox"/>	<b>CRFE</b> Computer Readable Form 'ENTERED' <input type="checkbox"/>	<b>C680</b> Request for Corrected Notice/Allowance <input type="checkbox"/>
<b>AMENDMENT</b>	<b>CRFL</b> CRF Transfer Request <input type="checkbox"/>	<b>COCIN</b> Papers filed re: Certificate of Corrections <input type="checkbox"/>
<b>A...</b> Amendment Including Elections <input type="checkbox"/>	<b>CRFS</b> Computer Readable Form Statement <input type="checkbox"/>	<b>EABN</b> Request for Express Abandonment <input type="checkbox"/>
<b>A.NE</b> After Final Amendment <input type="checkbox"/>	<b>SEQLIST</b> Sequence Listing <input type="checkbox"/>	<b>IRFND</b> Refund Request <input type="checkbox"/>
<b>A.PE</b> Preliminary Amendment <input type="checkbox"/>	<b>PGPUB</b>	<b>L_RIN</b> Any Incoming L&R <input type="checkbox"/>
<b>REM</b> Applicant Remarks in Amendment <input type="checkbox"/>	<b>PGEA</b> Req Express Aband to Avoid Publication <input type="checkbox"/>	<b>N/AP</b> Notice of Appeal <input type="checkbox"/>
<b>ELC.</b> Response to Election/Restriction <input type="checkbox"/>	<b>PGA9</b> Req for Corrected Pat App Publication <input type="checkbox"/>	<b>N417</b> Copy of EFS Receipt Acknowledgement <input type="checkbox"/>
<b>APPL PARTS</b>	<b>PGREF</b> Req for Refund of Publication Fee Paid <input type="checkbox"/>	<b>PROTEST</b> Protest Documents Filed by 3rd Party <input type="checkbox"/>
<b>SPEC</b> Specification <input type="checkbox"/>	<b>PGPUB DRAWINGS</b> Box PG Pub Drawings <input type="checkbox"/>	<b>PROTRANS</b> Translation of Provisional in Nonprov App <input type="checkbox"/>
<b>CLM</b> Claim <input type="checkbox"/>	<b>RESC</b> Rescind Non-Publication Request <input type="checkbox"/>	<b>C.AD</b> Change of Address <input type="checkbox"/>
<b>ABST</b> Abstract <input type="checkbox"/>		<b>PA..</b> Change in Power of Attorney <input type="checkbox"/>
<b>DRW</b> Drawings <input type="checkbox"/>	<b>XT/</b> Extension of Time filed separate <input type="checkbox"/>	<b>PC/I</b> Power to Make Copies or to Inspect <input type="checkbox"/>
<b>OATH</b> Oath or Declaration <input type="checkbox"/>	<b>371P</b> PCT Papers in a 371P Application <input type="checkbox"/>	<b>PET.</b> Petition <input type="checkbox"/>
<b>ADS</b> Application Data Sheet <input type="checkbox"/>	<b>IDS</b> IDS including 1449 <input type="checkbox"/>	<b>PETDEC</b> Petition Decision <input type="checkbox"/>
<b>APPENDIX</b> Appendix <input type="checkbox"/>	<b>FOR</b> Foreign Reference <input type="checkbox"/>	<b>MISC</b>
<b>ARTIFACT</b> Artifact <input type="checkbox"/>	<b>NPL</b> Non-Patent Literature <input type="checkbox"/>	<b>LET.</b> Miscellaneous Incoming Letter <input type="checkbox"/>
<b>COMPUTER</b> Computer Program Listing <input type="checkbox"/>	<b>FRPR</b> Foreign Priority Papers <input checked="" type="checkbox"/>	<b>IMIS</b> Miscellaneous Internal Document <input type="checkbox"/>
<b>SPEC NO</b> Specification Not in English <input type="checkbox"/>	<b>DIST</b> Terminal Disclaimer filed <input type="checkbox"/>	<b>RETMAIL.</b> Mail Returned by Post Office <input type="checkbox"/>

